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Mailing by Express Mail Label No. EL954755211US  
Date of Deposit: September 12, 2002

Attorney's Docket No: B00192/70035 (JRV)

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Applicants : David ROBERTS et al.  
U.S. Serial No. : 10/019,580  
International Application No. : PCT/GB00/02546  
International Filing Date : 30 June 2000 (30.06.00)  
Earliest Priority Date : 30 June 1999 (30.06.99)  
Title : TREATMENT OF DENDRITIC CELLS FOR  
INDUCTION OF IMMUNE TOLERANCE

Commissioner for Patents  
Box PCT  
Washington, DC 20231

Sir:

Transmitted herewith are the following documents:

- Second Preliminary Amendment
- Substitute Sequence Listing – paper copy w/identical computer readable diskette
- Statement Under 37 CFR 1.821(f) and 1.825(a) and (b)
- Copy of Notice of Defective Response
- Return Receipt Postcard

If the enclosed papers are considered incomplete, the Mail Room and/or the Application Branch is respectfully requested to contact the undersigned at (617)720-3500, Boston, Massachusetts.

No check is enclosed. If a fee is determined to be required, please charge the fee to the account of the undersigned, Deposit Account No. 23/2825. A duplicate of this sheet is enclosed.

Respectfully submitted,

  
John R. Van Amsterdam, Reg. No. 40,212  
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Tel: (617) 720-3500

Attorney's Docket No. B00192/70035  
Dated: September 12, 2002  
x9/30/02

JRV



## UNITED STATES PATENT AND TRADEMARK OFFICE

Commissioner for Patents, Box PCT  
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[www.uspto.gov](http://www.uspto.gov)

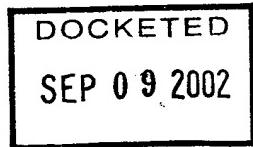
U.S. APPLICATION NUMBER NO.	FIRST NAMED APPLICANT	ATTY. DOCKET NO.
10/019,580	David ROBERTS	B0192/7035
INTERNATIONAL APPLICATION NO.		
PCT/GB00/02546		
IA. FILING DATE		PRIORITY DATE
06/30/2000		06/30/1999
CONFIRMATION NO. 8724		
371 FORMALITIES LETTER		
 *OC00000008709804*		

Date Mailed: 08/30/2002

## NOTIFICATION OF DEFECTIVE RESPONSE

The following items have been submitted by the applicant or the IB to the United States Patent and Trademark Office as an Elected Office (37 CFR 1.495):

- U.S. Basic National Fee
- Indication of Small Entity Status
- Priority Document
- Biochemical Sequence Diskette
- Biochemical Sequence Listing
- Copy of IPE Report
- Copy of references cited in ISR
- Copy of the International Application
- Copy of the International Search Report
- Oath or Declaration
- Preliminary Amendments
- Request for Immediate Examination



File Folder 9-30025 Initials  
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 Docket Entry NO EXPIRED  
 Docket Cross Off  
 Order Copies  
 Annuities  
 Confirmation

Mu Reg dtd 04/03/02 pfd/bt/gf

The following items **MUST** be furnished within the period set forth below in order to complete the requirements for acceptance under 35 U.S.C. 371:

**Applicant is required to complete the response within a time limit of ONE MONTH from the date of this Notification or within the time remaining in the response set forth in the Notification of Missing Requirements, whichever is the longer. No extension of this time limit may be granted under 37 CFR 1.136, but the period for response set in the Notification of Missing Requirements may be extended under 37 CFR 1.136(a).**

The following items **MUST** be furnished within the period set forth below:

- The nucleotide and/or amino acid sequence disclosure contained in this application does not comply with the requirements for such a disclosure as set forth in 37 CFR 1.821-1.825 for the following reason(s):
  - The computer readable form that has been filed with this application has been found to be damaged and/or

unreadable as indicated on the attached CRF Diskette Problem Report. A substitute computer readable form must be submitted as required by 37 CFR 1.825(d).

- see the attached error report
- APPLICANT MUST PROVIDE:

- An initial or substitute computer readable form (CRF) of the "Sequence Listing."
- An initial or substitute paper copy or compact disc of the "Sequence Listing," as well as an amendment directing its entry into the specification.

- For questions regarding compliance to 37 CFR 1.821-1.825 requirements, please contact:

- For Rules Interpretation, call (703) 308-4216
  - To Purchase PatentIn Software, call (703) 306-2600
  - For PatentIn Software Program Help, call (703) 306-4119 or e-mail at patin21help@uspto.gov or patin3help@uspto.gov
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- The computer readable form that has been filed with this application has been found to be damaged and/or unreadable as indicated on the attached CRF Diskette Problem Report. A substitute computer readable form must be submitted as required by 37 CFR 1.825(d).

Applicant is reminded that any communications to the United States Patent and Trademark Office must be mailed to the address given in the heading and include the U.S. application no. shown above (37 CFR 1.5)

*A copy of this notice **MUST** be returned with the response.*

FRANCINE YOUNG

Telephone: (703) 305-3662

PART 1 - ATTORNEY/APPLICANT COPY

U.S. APPLICATION NUMBER NO.	INTERNATIONAL APPLICATION NO.	ATTY. DOCKET NO.
10/019,580	PCT/GB00/02546	B0192/7035